

## INVESTOR RIGHTS DISCLOSURE

### 1. General information

The management company of the collective investment scheme in which you are invested (the “Fund”) is one of the following company (as per the prospectus of the relevant fund):

- LYXOR ASSET MANAGEMENT (« **LAM** »), registered office is at 17 Cours Valmy, Tour Société Générale, 92800 Puteaux, Paris, FRANCE
- LYXOR INTERNATIONAL ASSET MANAGEMENT (« **LIAM** »), registered office is at 17 Cours Valmy, Tour Société Générale, 92800 Puteaux, Paris, FRANCE
- LYXOR FUNDS SOLUTION S.A. (“**LFS**”), registered office is at 22, Boulevard Royal, L-2449 Luxembourg, LUXEMBOURG.

You are invested in units or shares of a UCITS or an AIF, the characteristics of which are defined in the relevant Fund’s prospectus. In the prospectus, you will namely find the following information:

- (a) subscription, redemption (and conversion, if any) orders process and other payments process (e.g. dividend, if any);
- (b) how orders referred to in point (a) can be made and how redemption proceeds are paid;
- (c) how exercise your rights as shareholder of the Fund.

### 2. Cancellation rights

Investors have a right to cancel their subscription and redemption orders. Such cancellation request may be accepted or rejected on a case by case basis, subject to the management company’s consent.

### 3. Other Fund related rights

As shareholder or unitholder of the Fund, you have several rights vis-à-vis the Fund. The relevant Fund’s prospectus describe all the rights you have, as per the Fund’s characteristics.

### 4. Right to complain

<b>LAM and LIAM</b>
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#### How to file a complaint

You can file a complaint by email or by post to the following addresses:

**Lyxor Asset Management**

Client Services  
189, rue d'Aubervilliers  
75886 Paris Cedex 18 – Fran  
client-services@lyxor.com  
(+33) 1 42 13 31 31

**Lyxor International Asset Management**

Client Services AM  
189, rue d'Aubervilliers  
75886 Paris Cedex 18 – France  
client-services@lyxor.com  
(+33) 1 42 13 31 31

Client Services ETF  
189, rue d'Aubervilliers  
75886 Paris Cedex 18 – France  
client-services@lyxor-etf.com  
(+33) 1 42 13 92 19

**Mediation by the Financial services regulatory authority (“AMF”)**

If you are unsatisfied with Lyxor’s response, you may file your complaint with the Ombudsman of the AMF.

You can file a complaint with the Ombudsman electronically by completing the online form on the AMF website (<http://www.amf-france.org/>), or by post to the following address:

**AMF Ombudsman**  
Autorité des marchés financiers,  
17 place de la Bourse  
75082 PARIS CEDEX 02

The mediation charter is available on the AMF website.

<b>LFS</b>
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**How to file a complaint**

You can file a complaint by email or by post to the following addresses:

**Lyxor Funds Solutions S.A.**  
Office: 22, Boulevard Royal, L-2449 Luxembourg  
or by e-mail to:  
lux.lyx-compliance@lyxor.com

**Mediation by the Commission de Surveillance du Secteur Financier (“CSSF”)**

Where the complainant did not receive an answer or a satisfactory answer, they may file a request with the CSSF within one year after they filed a complaint with LFS. The request must be filed with the CSSF in writing, by regular mail or by fax to the CSSF or by email or online on the CSSF website.

**Please note:** In addition to the above, if you have subscribed via a financial intermediary, in this case you may claim versus such intermediary.

## **5. Consumer rights and collective redress mechanism**

The access to collective redress mechanisms refers to rights granted to investors, in the context of consumer laws, which should be assessed on a country-by-country basis.

Should the Fund is a Luxembourg fund: in the absence of any specific legal or regulatory mechanisms, investors should be referred to the website of the CSSF by following this [link \(https://www.cssf.lu/en/consumer/\)](https://www.cssf.lu/en/consumer/).

Should the Fund is a French fund: in the absence of any specific legal or regulatory mechanisms, investors should be referred to the website of the AMF by following this link (<https://www.amf-france.org/en/amf-ombudsman>).

Should the Fund is an Irish fund: in the absence of any specific legal or regulatory mechanism, investors should be referred to the Irish Financial Services and Pensions Ombudsman by following this link (<https://www.fspo.ie/>).

## **6. Information available to investors**

- The following information and documents are available at [www.lyxoretf.fr](http://www.lyxoretf.fr) (if the Fund is an ETF), or at [www.lyxorfunds.com](http://www.lyxorfunds.com) (for any other funds), or on written request sent at the relevant management company’s address: The prospectus and KIID in relevant languages;
- The latest management regulations or articles of incorporation/association, as relevant;
- The latest available audited accounts;
- The net asset value.